S.N. 10/797,422 Atty Docket No. 122802-3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of

Confirmation No. 6158

John Frederick Ackerman et al

Group Art Unit: 1775

Serial No.: 10/797,422

Examiner: Jennifer C. McNEIL

Filed: March 10, 2004

For: THERMAL BARRIER COATING PROTECTED BY INFILTRATED

ALUMINA AND METHOD FOR PREPARING SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.56, 1.97 and 1.98 (PRIOR TO FIRST OFFICE ACTION)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, Applicants request the Examiner to make of record the documents listed on the attached PTO-1449 form. A copy of the non-U.S. Patent documents are also enclosed. In accordance with MPEP 609, the Examiner is requested to initial the document listed on the attached PTO/SB/08 form in the space provided, make a copy of the initialed form, and return the copy to Applicants' attorney. This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the application filing date or prior to a first Office Action on the merits.

The documents listed on the attached PTO/SB/08 form came to Applicants' attorney's attention as a result of a European Search Report (copy enclosed) for the European patent application corresponding to the parent of the above application (Serial No. 10/317,758, filed December 12, 2002). U.S. Patent 5,324,544 listed in the enclosed European Search Report was previously cited by Applicants; published U.S. Patent Application 2003/077477 is in the same patent family as European patent application 1,304,397 listed in the enclosed European Search Report. The relevance of the listed documents to the claims of the corresponding European patent application is indicated at page 2 of the enclosed European Search Report.

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Respectfully submitted,

For: Bangalore A. NAGARAJ et al

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May 21, 2004 Mason, Ohio

CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8

I hereby certify that this correspondence is being deposited on May 21, 2004 with the United States postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Eric W. Guttag

	Complete if Known		
THE SEA TION DICCI OCUDE	Application Number	10/797,422	
INFORMATION DISCLOSURE	Filing Date	March 10, 2004	
STATEMENT BY APPLICANT	First Named Inventor	John Frederic Ackerman et al.	
MAY 2 6 2004 (use as many sheets as necessary)	Art Unit	1775	
i in the second	Examiner Name	Jennifer C. McNeil	
Sheet 1 of 1	Attorney Docket Number	122802-3	

		Document Number Number - Kind Code ² (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Cite No.1					
		US-	5,324,544	06/28/94	Spence et al	
•		US-	6,203,927 B1	03/20/01	Subramanian et al	
1		US-	6,294,260 B1	09/25/01	Subramanian	
		US	6,284,682 B1	09/04/01	Trocyzynski et al	
		US	US2003/77477 A1	04/24/03	Bruce et al	
						
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		FOREIG	N PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where' Relevant Passages or Relevant Figures Appear	Le
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		Europe 926,261	06/30/99	Bornstein et al		<u> </u>
		Europe 1,304,397	04/23/03	Bruce et al		├—
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Examiner Signature	Date Considered	1
Signature		

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